

03-04-02

A

**UTILITY PATENT APPLICATION TRANSMITTAL**  
 (Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. : 47862/DBP/Y35  
 Inventor(s) : Keiko Matsubara; Toshiaki Tsuno; and Kyou-Yoon Sheem  
 Title : CARBONACEOUS MATERIAL AND LITHIUM SECONDARY  
 BATTERIES COMPRISING SAME  
 Express Mail Label No. : EL903019181US

**ADDRESS TO:** Assistant Commissioner for Patents  
 Box Patent Application  
 Washington, D.C. 20231

Date: March 1, 2002

1. ☒ **FEE TRANSMITTAL FORM** *(Submit an original, and a duplicate for fee processing).*

2. **IF A CONTINUING APPLICATION**

\_\_\_\_\_ This application is a \_\_\_\_\_ of patent application No. .

Prior application information: Examiner ; Group Art Unit:

\_\_\_\_\_ This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4),  
 to provisional Application No. .

3. **APPLICATION COMPRISED OF**

**Specification**

48 Specification, claims and Abstract (total pages)

**Drawings**

5 Sheets of formal drawing(s) (FIGS. 1 to 7)

**Declaration and Power of Attorney**

☒ Newly executed

\_\_\_\_\_ Unexecuted declaration

\_\_\_\_\_ Copy from a prior application (37 CFR 1.63(d))(for continuation and divisional)

4. \_\_\_\_\_ **Microfiche Computer Program** *(Appendix)*

5. \_\_\_\_\_ **Nucleotide and/or Amino Acid Sequence Submission** *(if applicable, all necessary)*

\_\_\_\_\_ Computer Readable Copy

\_\_\_\_\_ Paper Copy (identical to computer copy)

\_\_\_\_\_ Statement verifying identity of above copies

6. **APPLICANT(S) STATUS UNDER 37 CFR § 1.27**

\_\_\_\_\_ Applicant(s) and any others associated with it/them under § 1.27(a) are a SMALL  
 ENTITY

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**7. ALSO ENCLOSED ARE**

- ☒ Preliminary Amendment  
☒ Includes "Cross-Reference to Related Applications"  
☐ A Petition for Extension of Time for the parent application and the required fee are enclosed  
☒ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed  
☐ This application is owned by pursuant to an Assignment recorded at Reel , Frame  
☒ Information Disclosure Statement (IDS)/PTO-1449  
☒ Copies of IDS Citations  
☒ Certified copy of Priority Document(s) (*if foreign priority is claimed*)  
☐ English Translation Document (*if applicable*)  
☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).  
☐ Other

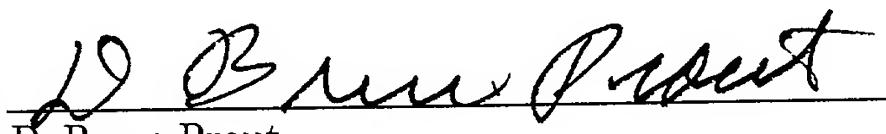
**8. CORRESPONDENCE ADDRESS**

**CHRISTIE, PARKER & HALE, LLP, P.O. BOX 7068, PASADENA, CA 91109-7068**  
**Customer Number: 23363**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By

  
D. Bruce Prout  
Reg. No. 20,958  
626/795-9900

DBP/aam

**FEE TRANSMITTAL  
UTILITY PATENT APPLICATION**

**DATE: March 1, 2002**

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Inventor(s) : Keiko Matsubara; Toshiaki Tsuno; and Kyou-Yoon Sheem  
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COMPRISING SAME Duplicate X

**FEE DETERMINATION**

CLAIMS AS FILED					
	NUMBER FILED	NUMBER EXTRA	SMALL ENTITY RATE	LARGE ENTITY RATE	FEE
TOTAL CLAIMS	13 - 20	0	x \$9.00	0 x \$18.00	\$0.00
INDEPENDENT CLAIMS	3 - 3	0	x \$42.00	0 x \$84.00	\$0.00
MULTIPLE-DEPENDENT CLAIMS FEE			\$140.00	\$280.00	\$0.00
BASIC FEE			\$370.00	\$740.00	\$740.00
TOTAL FILING FEE					\$740.00
List Independent Claims: 1, 12, and 13					

**METHOD OF PAYMENT**

- X Payment Enclosed: Check for \$740.00
- X The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **entire pendency** of the application to Deposit Account No. 03-1728. Please show our docket number with any charge or credit to our Deposit Account. **A duplicate copy of this sheet is enclosed.**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By D. Bruce Prout  
D. Bruce Prout  
Reg. No. 20,958  
626/795-9900